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| APPLICATION NO.         | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-------------------------|----------------|----------------------|---------------------|------------------|--|
| 10/618,186              | 07/10/2003     | Shlomo Rakib         | 034704-000006 4298  |                  |  |
| 7.                      | 590 12/13/2005 |                      | EXAMINER            |                  |  |
| Robert E. Kre           | bs             |                      | LU,                 | JIA              |  |
| Thelen Reid &           | Priest, LLP    |                      |                     |                  |  |
| P.O. Box 640640         |                |                      | ART UNIT            | PAPER NUMBER     |  |
| San Jose, CA 05164 0640 |                |                      | 0.004               |                  |  |

DATE MAILED: 12/13/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.   |   | Applicant(s)   |             |  |  |  |
|--|---|---|--|-------------|--|--|--|
| Office Action Summany  | 10/618,186  | UK  | RAKIB ET AL.   |             |  |  |  |
| Office Action Summary  | Examiner  |   | Art Unit   |             |  |  |  |
|  | Jia W. Lu   |   | 2634   |             |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply   | pears on the cover s  | heet with the c   | orrespondence a  | ddress      |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COM<br>136(a). In no event, however<br>will apply and will expire SIX<br>e, cause the application to be | MUNICATION<br>r, may a reply be tirr<br>( (6) MONTHS from<br>ecome ABANDONE | I.  rely filed  the mailing date of this of the mailing date of this of the control of the contr | , ,         |  |  |  |
| Status   |   |   |  |             |  |  |  |
| 1) Responsive to communication(s) filed on 10 J  | ulv 2003.   |   |  |             |  |  |  |
|  | s action is non-final.  |   |  |             |  |  |  |
| 3) Since this application is in condition for allowa   | nce except for forma  | al matters, pro   | secution as to th  | e merits is |  |  |  |
| closed in accordance with the practice under E   | Ex parte Quayle, 19   | 35 C.D. 11, 45  | 3 O.G. 213.  |             |  |  |  |
| Disposition of Claims  |   |   |  |             |  |  |  |
| 4)⊠ Claim(s) <u>1-13</u> is/are pending in the application   | i <b>.</b>  |   |  |             |  |  |  |
| 4a) Of the above claim(s) is/are withdra   | wn from considerati   | on.   |  |             |  |  |  |
| 5) Claim(s) is/are allowed.  |   |   |  |             |  |  |  |
| 6)⊠ Claim(s) <u>1-13</u> is/are rejected.  | ☑ Claim(s) <u>1-13</u> is/are rejected.   |   |  |             |  |  |  |
| 7) Claim(s) is/are objected to.  |   |   |  |             |  |  |  |
| 8) Claim(s) are subject to restriction and/o   | or election requireme   | ent.  |  |             |  |  |  |
| Application Papers   |   |   |  |             |  |  |  |
| 9)⊠ The specification is objected to by the Examine  | er.   |   |  |             |  |  |  |
| 10)⊠ The drawing(s) filed on <u>10 July 2003</u> is/are: a)⊡ accepted or b)⊠ objected to by the Examiner.  |   |   |  |             |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |   |  |             |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |   |  |             |  |  |  |
| 11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |   |  |             |  |  |  |
| Priority under 35 U.S.C. § 119   |   |   |  |             |  |  |  |
| 12) Acknowledgment is made of a claim for foreign  a) All b) Some * c) None of:  | priority under 35 U   | .S.C. § 119(a)  | -(d) or (f).   |             |  |  |  |
|  | 1. Certified copies of the priority documents have been received.   |   |  |             |  |  |  |
| 2. Certified copies of the priority document   |   |   |  |             |  |  |  |
| 3. Copies of the certified copies of the prio  |   |   | d in this National   | l Stage     |  |  |  |
| application from the International Burea   |   | -   | d  |             |  |  |  |
| * See the attached detailed Office action for a list   | or the certified copi   | es not receive  | u.   |             |  |  |  |
|  |   |   |  |             |  |  |  |
| Attachment(s)  | " —   |   | (DTO 460)  |             |  |  |  |
| Notice of References Cited (PTO-892)   | 4) ∟ Int<br>Pa  | erview Summary<br>per No(s)/Mail Da   | (P10-413)<br>te  |             |  |  |  |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)   | 5) 🔲 No   | tice of Informal P  | atent Application (PT  | O-152)      |  |  |  |
| Paper No(s)/Mail Date  | 6) LJ Oti   | her:  |  |             |  |  |  |

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#### DETAILED ACTION

### **Drawings**

1. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the first number of summer circuits and second number of DAC's as described in claims 12 and 13, where the second number is less than the first number, must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

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## Specification

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2. The disclosure is objected to because of the following informalities: On page 4, line 2, "eaxh" should be "each".

Appropriate correction is required.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 12 recites the limitation "second number of DACs" in line 9. Claim 13
recites the limitation "third number of DACs" in line 12. There is insufficient
antecedent basis for these limitations in the claims.

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 4. Claims 1-8 are rejected under 35 U.S.C. 102(e) as being anticipated by Patrik Eriksson in US Patent 6,570,929.

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a. Regarding claims 1 and 2, Eriksson shows a system outputting a signal from a first plurality of channel streams at digital format (figure 1), the system comprising a plurality of modulators ( "mod" and "multi") each producing a digital upconverted signal, a summer circuit (element 120), a DAC (element 120), and upconverter (column 1, lines 60-65).

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- b. Regarding claims 3 and 7, Eriksson describes a first up-conversion to a first frequency (column 1, line 56) before a second upconverter converts it to transmission frequency (line 63), and hence it is inherent that this second upconverter is an IF modulator.
- c. Regarding claims 4 and 8, Eriksson describes the use of filters in its transmitting chain (column 1, lines 60-65), and it is inherent that filters in transmission chains are used to perform band-limiting functions.
- d. Claims 5 and 6 reads on the limitations of claims 1 and 2 above, respectively, further, Eriksson describes a transmitter for outputting the summed signals to a receiver (column 1, lines 60-65).
- 5. Claims 12 and 13 are rejected under 35 U.S.C. 102(b) as being anticipated by Gene L. Cangiani et al in US Patent 6,335,951. Cangiani teaches a plurality of modulator circuits (figure 8, element 84), a first number of summer circuits (element 96), a second number of DACs (Figure 2, element 14), and a second (or third) number of up-converters (element 16), where the second number is less than the first number (1<2).

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## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- Claims 9-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Faramaz Davarian in US Patent 4,812,786 in view of Patrik Eriksson in US Patent 6,570,929.
  - a. Regarding claims 9 and 10, Davarian shows the two summer circuits (figure 2, element 20 and 22), two DACs, (elements 24 and 26) and upconverter (element 32). However, Davarian does not show a plurality of modulators. However, Eriksson shows the use of a plurality of modulators in his invention (figure 1), and it would have been obvious to one ordinarily skilled in the art to use a multitude of modulators disclosed by Davarian in order to transmit multiple frequencies.

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b. Regarding claim 11, Davarian shows a LPF coupled to the upconverter

(figure 2, elements 28 and 30).

Conclusion

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Jia W. Lu whose telephone number is 571-272-6042.

The examiner can normally be reached on Mon- Fri, 10:30AM-6:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Stephen Chin can be reached on (571)272-3056. The fax phone number for

the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

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you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

Jia Lu Examiner

SUPERVISORY PATENT EXAMINE

TECHNOLOGY CENTER 2600